Universality far from equilibrium



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Content

- Thermalization dynamics in isolated quantum systems
- Universality far from equilibrium: Nonthermal fixed points in early-universe cosmology, relativistic heavy-ion collisions, and ultracold quantum gases
- Infrared cascade and dynamics of Bose condensation
- Some puzzles and challenges

Universality in thermal equilibrium

Example: Schematic phase diagram of

• strong interaction matter (QCD)



• typical liquid-gas system



→ Fine-tuning of critical parameter(s) to observe universal behavior (relevant operators for renormalization group fixed points)

Universality far from equilibrium

Example: Schematic thermalization for isolated quantum systems



 \rightarrow No fine-tuning to observe far-from-equilibrium universal behavior (irrelevant operators for nonthermal renormalization group fixed points)

Extreme conditions

Dimensionless combination of

coupling strength field² expectation value (vacuum/ thermal equilibrium/nonequilibrium) $\frac{\lambda \cdot \langle \varphi^2 \rangle}{Q^2} \sim 1$

characteristic energy/momentum²

→ Extreme conditions can enhance the loss of details about microscopic properties (coupling strengths, initial conditions, ...)

Isolated quantum systems in extreme conditions

Early-universe inflaton dynamics

Preheating after inflation (~10¹⁶ GeV)

Relativistic heavy-ion collision experiments

Quark-Gluon Plasma (~100 MeV ~10¹² K)

Table-top experiments with ultracold atoms

Strong quenches at nanokelvins







Evolution of the Universe

Dark Energy Accelerated Expansion



`Preheating' from parametric resonance instability

- All particles/radiation diluted away after inflation \rightarrow `vacuum-like'
- Particle production after inflation Kofman, Linde, Starobinsky, PRL 73 (1994) 3195

$$V(\phi, \chi) = \frac{1}{2}m^2\phi^2 + \frac{1}{2}\mu^2\chi^2 + \frac{\lambda_{\phi}}{4!}\phi^4 + \frac{g}{2}\phi^2\chi^2$$

$$\phi \gg m/\sqrt{\lambda} \quad , \quad \phi \sim 0.3M_P \quad , \quad \lambda_{\phi} \lesssim 10^{-12} \quad , \quad g^2 \lesssim \lambda$$
massless reheating: $m = \mu = 0 \qquad M_P \equiv (8\pi G)^{-1/2} \simeq 2.435 \cdot 10^{18} \text{GeV}$

$$\frac{d^2\chi_k}{dt^2} + (k^2 + g\phi^2(t))\chi_k = 0 \quad \Rightarrow \quad \chi_{k\simeq Q}(t) \sim e^{\gamma_Q t/2} \quad \text{nonequilibrium}_{\text{instability}}$$

Classical oscillator analogue:



Macroscopic fields, condensates and fluctuations

• In a quantum theory the field amplitude corresponds to the expectation value of a (here relativistic, real) Heisenberg field operator $\Phi(t, \mathbf{x})$:

$$\phi(t) = \langle \Phi(t, \mathbf{x}) \rangle \equiv \operatorname{Tr} \left\{ \varrho_0 \Phi(t, \mathbf{x}) \right\}$$

time-dependent expectation value density operator at some `initial' time t = 0

• Fluctuations derive from correlation functions, e.g. spatially homogeneous:

Preheating: Insensitivity to initial condition details

Example: *Inflaton'* $\lambda \phi^4$ theory ($\lambda \ll 1$), $\phi = \phi_0 + \delta \phi$

1. Large initial field:

2. High occupancy:



Nonthermal fixed point: Insensitivity to coupling strength

E.g. scalar *N***-component** $\lambda \phi^4$ *quantum* theory (1/*N* to NLO 2PI):



Schematic behavior near nonthermal fixed point: dual cascade



Particle versus energy transport

 $K \ll Q \ll \Lambda$

number conservation:

energy conservation:

$$\dot{n}_Q = \dot{n}_K + \dot{n}_\Lambda \qquad \qquad Q\dot{n}_Q = K\dot{n}_K + \Lambda\dot{n}_\Lambda$$
$$\dot{n}_K = \frac{\Lambda - Q}{\Lambda - K}\dot{n}_Q \simeq \dot{n}_Q \qquad \qquad \dot{n}_\Lambda = \frac{Q - K}{\Lambda - K}\dot{n}_Q \simeq \frac{Q}{\Lambda}\dot{n}_Q$$
$$\Rightarrow \Lambda \dot{n}_\Lambda \simeq Q\dot{n}_Q$$

Particles are transported towards lower scales, energy towards higher scales

Self-similarity

$$f(t, \mathbf{p}) = s^{\alpha/\beta} f(s^{-1/\beta} t, s\mathbf{p})$$

$$f(t,\mathbf{p}) = t^{\alpha} f_S(t^{\beta}\mathbf{p})$$

Time-independent scaling function:

$$f_S(t^{\beta}\mathbf{p}) \equiv f(1, t^{\beta}\mathbf{p})$$

Scaling exponents α and β determine rate and direction of transport:

$$K(t_1) = K_1 \qquad \Rightarrow \qquad K(t) = K_1 (t/t_1)^{-\beta}$$
$$f(t, K(t)) \sim t^{\alpha}$$

e.g. $\alpha > 0$, $\beta > 0$: particle transport towards lower momentum scales

Self-similar dynamics: infrared scaling

 $f(t, \mathbf{p}) = t^{\alpha} f_S(t^{\beta} \mathbf{p})$, $\alpha = 1.51 \pm 0.13$, $\beta = 0.51 \pm 0.04$



Mass scale separating non-relativistic infrared regime



- non-relativistic infrared dynamics expected because of the generation of a mass gap (condensate + medium)
- \rightarrow relativistic & non-relativistic field theories have same infrared scaling

Piñeiro Orioli, Boguslavski, Berges, PRD 92 (2015) 025041

Non-relativistic system: Dilute quantum gases

• Interacting bosons with s-wave scattering length a, interatomic distance ~ $n^{-1/3}$

`diluteness' parameter:

$$\zeta = \sqrt{na^3}$$

$$Q = \sqrt{16\pi an}$$

• E.g. Gross-Pitaevskii equation for dilute Bose gas:

$$i\partial_t \psi(t, \mathbf{x}) = \left(-\frac{\nabla^2}{2m} + g|\psi(t, \mathbf{x})|^2\right)\psi(t, \mathbf{x})$$
$$g = 4\pi a/m \qquad N_{\text{total}} = \int d^3x |\psi(t, \mathbf{x})|^2$$

Dilute bose gas in extreme conditions

• E.g. in the mean-field approximation for a spatially homogeneous system without condensate the interaction term leads to a constant energy shift:

$$\Delta E = 2g\langle |\psi|^2 \rangle = 2gn = 2g \int \frac{d^3p}{(2\pi)^3} f_{\rm nr}(|\mathbf{p}|)$$

 $f_{\rm nr}(Q) \sim \frac{1}{\zeta}$

occupation number

• For the overoccupied Bose gas with

the mean-field shift in energy is of the same order as the relevant kinetic energy $Q^2/2m$ irrespective of the coupling g, since

$$g \int d^3 p f_{\rm nr}(\mathbf{p}) \sim g Q^3 f_{\rm nr}(Q) \sim g \frac{Q^3}{\zeta} \sim g \frac{Q^3}{mgQ} \sim \frac{Q^2}{m}$$
$$a = mg/(4\pi)$$
$$Q = \sqrt{16\pi an} \quad \Big\rangle \quad Q = 2\sqrt{mgn} \quad \stackrel{\zeta = \sqrt{na^3}}{\longrightarrow} \quad \zeta = mgQ/(16\pi^{3/2})$$

Universal scaling form of the distribution function



Estimating scaling properties

$$\frac{\partial f(t, \mathbf{p})}{\partial t} = C[f](t, \mathbf{p}) \quad \text{`collision integral'}$$

 $C[f](t, \mathbf{p}) = s^{-\mu} C[f](s^{-1/\beta}t, s\mathbf{p}) = t^{-\beta\mu} C[f_S](1, t^{\beta}\mathbf{p})$ $f(t, \mathbf{p}) = s^{\alpha/\beta} f(s^{-1/\beta}t, s\mathbf{p}) \qquad s^{-1/\beta}t = 1$

$$\frac{\partial}{\partial t} \left[t^{\alpha} f_{S}(t^{\beta} \mathbf{p}) \right] = t^{\alpha - 1} \left[\alpha + \beta \, \mathbf{q} \cdot \nabla_{\mathbf{q}} \right] f_{S}(\mathbf{q}) |_{\mathbf{q} = t^{\beta} \mathbf{p}}$$

Time-independent *fixed point equation:*

$$[\alpha + \beta \mathbf{p} \cdot \nabla_{\mathbf{p}}] f_S(\mathbf{p}) = C[f_S](1, \mathbf{p})$$

+ scaling relation:

$$\alpha - 1 = -\beta\mu$$

Micha, Tkachev, PRD 70 (2004) 043538

Conservation laws

$$n = \int \frac{d^d p}{(2\pi)^d} f(t, \mathbf{p}) = t^{\alpha - \beta d} \int \frac{d^d q}{(2\pi)^d} f_S(\mathbf{q})$$

 $\Rightarrow \quad particle \ conservation: \ \alpha = \beta d$

$$\epsilon = \int \frac{d^d p}{(2\pi)^d} \,\omega(\mathbf{p}) f(t, \mathbf{p}) = t^{\alpha - \beta(d+z)} \int \frac{d^d q}{(2\pi)^d} \,\omega(\mathbf{q}) f_S(\mathbf{q})$$

energy conservation: $\alpha = \beta(d+z)$

 \Rightarrow

$$\omega(\mathbf{p}) = s^{-z}\omega(s\mathbf{p})$$

Perturbative estimate (Gross-Pitaevskii)

$$\begin{split} C^{2\leftrightarrow 2}[f](t,\mathbf{p}) &= \int \mathrm{d}\Omega^{2\leftrightarrow 2}(\mathbf{p},\mathbf{l},\mathbf{q},\mathbf{r}) \\ &\times [(f_{\mathbf{p}}+1)(f_{\mathbf{l}}+1)f_{\mathbf{q}}f_{\mathbf{r}} - f_{\mathbf{p}}f_{\mathbf{l}}(f_{\mathbf{q}}+1)(f_{\mathbf{r}}+1)] \\ & \stackrel{1 \ll f_{\mathbf{p}}}{\simeq} \int \mathrm{d}\Omega^{2\leftrightarrow 2}(\mathbf{p},\mathbf{l},\mathbf{q},\mathbf{r}) \ [(f_{\mathbf{p}}+f_{\mathbf{l}})f_{\mathbf{q}}f_{\mathbf{r}} - f_{\mathbf{p}}f_{\mathbf{l}}(f_{\mathbf{q}}+f_{\mathbf{r}})] \\ \end{split}$$

$$\begin{split} \text{Using:} \qquad \int \mathrm{d}\Omega^{2\leftrightarrow 2}(\mathbf{p},\mathbf{l},\mathbf{q},\mathbf{r}) \sim g^{2} \int \frac{d^{d}l}{(2\pi)^{d}} \frac{d^{d}q}{(2\pi)^{d}} \frac{d^{d}r}{(2\pi)^{d}} \\ &\times (2\pi)^{d+1} \, \delta^{(d)}(\mathbf{p}+\mathbf{l}-\mathbf{q}-\mathbf{r}) \, \delta(\omega_{\mathbf{p}}+\omega_{\mathbf{l}}-\omega_{\mathbf{q}}-\omega_{\mathbf{r}}) \end{split}$$

gives scaling relation: $\alpha - 1 = -\beta \left[3d - (d+2) - 3\alpha/\beta \right]$

$$\begin{array}{c} \alpha = \beta d \\ \Rightarrow \quad nonrel. \ particle \ transport: \ \alpha = -\frac{d}{2}, \ \beta = -\frac{1}{2} \end{array}$$

Negative perturbative exponents do not account for inverse particle cascade!

Beyond perturbation theory: large-N expansion to NLO

$$\begin{split} \int \mathrm{d}\Omega^{2\leftrightarrow 2}(\mathbf{p},\mathbf{l},\mathbf{q},\mathbf{r}) &\longrightarrow \int d\Omega^{\mathrm{NLO}}[f](t,\mathbf{p},\mathbf{l},\mathbf{q},\mathbf{r}) \sim \int \frac{d^d l}{(2\pi)^d} \frac{d^d q}{(2\pi)^d} \frac{d^d r}{(2\pi)^d} \\ &\times (2\pi)^{d+1} \,\delta^{(d)}(\mathbf{p}+\mathbf{l}-\mathbf{q}-\mathbf{r}) \,\delta(\omega_{\mathbf{p}}+\omega_{\mathbf{l}}-\omega_{\mathbf{q}}-\omega_{\mathbf{r}}) \\ &\times g_{\mathrm{eff}}^2[f](t,\mathbf{p},\mathbf{q}) \,, \end{split}$$



FIG. 11. Illustration of different scattering channels. The vertex correction at NLO may be viewed as an effective interaction, which involves the exchange of an intermediate particle.

based on Berges Nucl. Phys. A 699 (2002) 847; Aarts et al. Phys. Rev. D 66 (2002) 045008

Vertex correction (NLO 1/N)

$$g_{\text{eff}}^2(t, \mathbf{p}, \mathbf{q}) \equiv \frac{g^2}{|1 + \Pi_{\text{nr}}^R(t, \omega_{\mathbf{p}} - \omega_{\mathbf{q}}, \mathbf{p} - \mathbf{q})|^2}$$

one-loop retarded self-energy:

$$\Pi_{\mathrm{nr}}^{R}(t,\omega,\mathbf{p}) = g \int \frac{\mathrm{d}^{d}q}{(2\pi)^{d}} f(t,\mathbf{p}-\mathbf{q})$$
$$\times \left[\frac{1}{\omega_{\mathbf{q}}-\omega_{\mathbf{p}-\mathbf{q}}-\omega-i\epsilon} + \frac{1}{\omega_{\mathbf{q}}-\omega_{\mathbf{p}-\mathbf{q}}+\omega+i\epsilon}\right]$$

scaling behavior:

$$\Pi_{\mathrm{nr}}^{R}(t,\omega_{\mathbf{p}},\mathbf{p}) = s^{\alpha/\beta-d+2} \Pi_{\mathrm{nr}}^{R}(s^{-1/\beta}t,\omega_{s\mathbf{p}},s\mathbf{p})$$

 $\alpha/\beta \geq d\;$, $\Pi^R_{\rm nr}(t,\omega_{\bf p},{\bf p})\gg 1\;$ in the infrared:

$$\Rightarrow \qquad g_{\text{eff}}^2(t,\mathbf{p},\mathbf{q},\mathbf{r}) = s^{-2(\alpha/\beta - d + 2)} g_{\text{eff}}^2(s^{-1/\beta}t,s\mathbf{p},s\mathbf{q},s\mathbf{r})$$

Scaling solution at NLO 1/N

$$C_{\mathrm{nr}}^{\mathrm{NLO}}[f](t,\mathbf{p}) = s^{-(2-\alpha/\beta)} C_{\mathrm{nr}}^{\mathrm{NLO}}[f](s^{-1/\beta}t,s\mathbf{p})$$
$$= t^{\alpha-2\beta} C_{\mathrm{nr}}^{\mathrm{NLO}}[f_S](1,t^{\beta}\mathbf{p})$$

gives scaling relation: $\alpha - 1 = \alpha - 2\beta$

nonrel. transport:
$$\beta = \frac{1}{2}$$
 of $\begin{cases} particles: \alpha = d/2 \\ energy: \alpha = (d+2)/2 \end{cases}$

Positive nonperturbative exponents can describe inverse cascade! NLO result in good agreement with full numerical simulation

Piñeiro Orioli, Boguslavski, Berges, PRD 92 (2015) 025041

Self-similar dynamics from classical-statistical simulations

 $f(t, \mathbf{p}) = t^{\alpha} f_S(t^{\beta} \mathbf{p})$, $\alpha = 1.66 \pm 0.12$, $\beta = 0.55 \pm 0.03$



Condensation far from equilibrium

$$F(t, t', \mathbf{x} - \mathbf{x}') = \frac{1}{2} \langle \psi(t, \mathbf{x}) \psi^*(t', \mathbf{x}') + \psi(t', \mathbf{x}') \psi^*(t, \mathbf{x}) \rangle$$
$$f(t, \mathbf{p}) + (2\pi)^3 \delta^{(3)}(\mathbf{p}) |\psi_0|^2(t) \equiv \int d^3x \, e^{-i\mathbf{p}\mathbf{x}} F(t, t, \mathbf{x})$$
$$\bigvee_{\text{volume: } (2\pi)^3 \delta(\mathbf{0}) \to V}$$



Time: t

Condensation time



Thermalization dynamics in relativistic heavy-ion collisions





Heavy-ion collisions in the high-energy limit



JB, Schenke, Schlichting, Venugopalan, NPA931 (2014) 348 for initial spectrum from Epelbaum, Gelis, PRD88 (2013) 085015. Plasma instabilities from wide range of initial conditions:

Mrowczynski; Rebhan, Romatschke, Strickland; Arnold, Moore, Yaffe; Bödecker; Attems, ... Romatschke, Venugopalan; J.B., Scheffler, Schlichting, Sexty; Fukushima, Gelis ...

Overoccupied non-Abelian plasma



 To discuss attractor: Initial overoccupation described by family of distributions at τ₀ (read-out in Coulomb gauge)

$$f(\mathbf{p}_{\mathrm{T}}, \mathbf{p}_{\mathrm{z}}, \tau_{0}) = \frac{n_{0}}{2g^{2}} \Theta \left(Q_{\mathrm{s}} - \sqrt{\mathbf{p}_{\mathrm{T}}^{2} + (\xi_{0}\mathbf{p}_{\mathrm{z}})^{2}} \right)$$

anisotropy parameter (controls "prolateness" or "oblateness" of initial momentum distribution)

J.B., Boguslavski, Schlichting, Venugopalan, PRD89 (2014) 074011; 114007; PRL114 (2015) 061601

Nonthermal fixed point

Evolution in the `anisotropy-occupancy plane'



`Bottom-up´* scaling emerges as a consequence of the fixed point! *Baier et al, PLB 502 (2001) 51

Self-similar evolution



$$f(\mathbf{p}_{\mathrm{T}},\mathbf{p}_{\mathrm{z}},\tau) = (Q\tau)^{\alpha} f_{S} \Big((Q\tau)^{\beta} \mathbf{p}_{\mathrm{T}}, (Q\tau)^{\gamma} \mathbf{p}_{\mathrm{z}} \Big)$$

stationary fixed-point distribution

Comparing gauge and scalar field theories

with longitudinal expansion



- For gauge & scalar fields: Inertial range of *thermal-like transverse* spectrum ~1/p_T even as longitudinal distribution is being `squeezed'
- Strongly enhanced infrared regime for scalars: *inverse particle cascade leading to Bose condensation*, $\sim 1/p^5$ as in isotropic *superfluid turbulence*
- At latest available times for scalars a flat distribution for $p_T\gtrsim Q$ emerges
 - J.B., Boguslavski, Schlichting, Venugopalan, Phys. Rev. Lett. 114 (2015) 6, 061601

Universality far from equilibrium

• Same *universal exponents and scaling function* in $1/p_{T}$ inertial range

$$\alpha = -2/3 \quad , \quad \beta = 0 \quad , \quad \gamma = 1/3$$

in the second stribution:

$$f_g \quad f_{\phi} \quad f_{\phi}$$

 \rightarrow Remarkably large universality class far from equilibrium!

Some puzzles and challenges

• The scaling solution seems well understood for the gauge theory (BMSS) but the corresponding kinetic theory arguments fail for the scalar theory

Consider the Boltzmann equation

$$[\partial_{\tau} - \frac{p_Z}{\tau} \partial_{p_Z}]f(p_T, p_Z, \tau) = C[f](p_T, p_Z, \tau)$$

with a self-similar evolution

$$f(p_T, p_Z, \tau) = (Q\tau)^{\alpha} f_S((Q\tau)^{\beta} p_T, (Q\tau)^{\gamma} p_Z)$$

 \rightarrow Non-thermal fixed point solution $(f \gg 1)$

$$[\alpha + \beta p_T \partial_{p_T} + (\gamma - 1) p_Z \partial_{p_Z}] f_S(p_T, p_Z) = Q^{-1} C[f_S](p_T, p_Z)$$

 \rightarrow Scaling exponents determined by scaling relations for

- Small angle elastic scatterin
- Energy conservation
- Particle number conservation

 $\rightarrow \alpha = -2/3, \beta = 0, \gamma = 1/3$ in excellent agreement with lattice data!

However: No dominance for small angle scattering in scalar theory! Much more general principle underlying nonthermal fixed point?

$$(2\alpha - 2\beta + \gamma = -1) \quad \longleftarrow \text{ for gauge theory}$$
$$(\alpha - 3\beta - \gamma = -1)$$
$$(\alpha - 2\beta - \gamma = -1)$$

g
$$(2\alpha - 2\beta + \gamma = -1) \leftarrow$$

 In the weak-coupling limit, kinetic theory is expected to have an overlapping range of validity with classical-statistical simulations

| f(p) ~ 1/g ² | $1/g^2 > f(p) > 1$ | <i>f</i> (<i>p</i>) < 1 |
|--|----------------------|---------------------------|
| ('overoccupied') | (classical particle) | (quantum) |
| classical-statistical lattice gauge theory | | |

kinetic theory

However, kinetic theory cannot reproduce important quantities such as P_L / P_T characterizing isotropization of the longitudinally expanding plasma:



• Scaling behavior of P_L/P_T the same for scalar and gauge field simulations!

• In scalar theory behavior of P_L/P_T known to arise from infrared contributions (Bose condensation)

→ Nonperturbative despite (weak) coupling parameter • Perturbative estimates extrapolated beyond the weak-coupling regime suggest the absence of transient universal scaling behavior

Gauge: Kurkela, Zhu, PRL 115 (2015) 182301; Scalar: Epelbaum, Gelis, Jeon, Moore, Wu, JHEP 09 (2015) 117

However, no such indications (yet no expansion) from nonperturbative estimates in scalar quantum field theory JB, Wallisch, arXiv:1607.02160 (and holographic superfluids?) Ewerz et al., JHEP 18 (2015) 1505 (cf. also Adams, Chesler, Liu, Science 341 (2013) 368)

• By now, detailed understanding of the dynamics of Bose condensation in scalar quantum field theory (NLO-1/*N*)/vertex-resummed kinetic equation

However, no such understanding in gauge theories – despite indications for infrared contributions to gauge invariant quantities (P_L/P_T)

→ Holography / functional renormalization group / solving QFTs by ultracold quantum gas measurements / ...

Universality far from equilibrium

